Micro-stability and transport modelling of internal transport barriers on JET

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Abstract

The physics of internal transport barrier (ITB) formation in JET has been investigated using micro-stability analysis, profile modelling and turbulence simulations. The calculation of linear growth rates shows that magnetic shear plays a crucial role in the formation of the ITB. Shafranov shift, ratio of the ion to electron temperature, and impurity content further improve the stability. This picture is consistent with profile modelling and global fluid simulations of electrostatic drift waves. Turbulence simulations also show that rational q values may play a special role in triggering an ITB. The same physics also explains how double internal barriers can be formed.

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1. Introduction

Internal transport barriers (ITBs) in tokamak plasmas are considered as a promising way to achieve steady-state plasmas with good confinement properties in a fusion reactor. A crucial question is whether it will be possible to produce an ITB in a next-step device with a reasonable amount of power. Once a barrier is triggered, a self-amplifying process takes place, where increasing gradients produce $E \times B$ velocity shear and Shafranov shift large enough to further decrease the turbulent transport. This paper is, however, focused on the

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question of barrier initiation. Many experimental results in JET point towards the safety factor profile as a key ingredient. In particular, the power threshold is clearly lower when the magnetic shear is reversed. However, other mechanisms like Shafranov shift stabilization, impurity content or density peaking may play a role. One aim of this paper is to apply and compare various models and techniques on a common set of JET plasmas. Micro-stability analysis, profile modelling and turbulence simulations are used for this purpose. This paper also tackles a challenging class of transport barriers that are sensitive to low order rational surfaces. Their role has been recently confirmed in JET reversed shear plasmas, thanks to the observation of Alfvèn cascades in coincidence with barrier formation. In particular, strong barriers are often triggered when q_{\min} crosses 2 or 3. Surprisingly, when q_{\min} further decreases with time and falls below q = 2, the barrier sometimes splits. Two internal barriers then coexist and are tied to the q = 2 magnetic surfaces. Existing models are confronted with this puzzling behaviour.

2. Brief description of JET ITBs

The physics that is usually invoked for explaining the triggering and self-sustainment of an ITB is a mixture of turbulence suppression via $E \times B$ velocity shear and linear stabilization of drift waves. The magnetic shear is often considered as the main reason for improved stability. Two mechanisms have been identified: a decrease of the interchange drive [1], which is more prominent at negative shear, and a rarefaction of resonant surfaces that occurs at zero shear [2]. The reduction in turbulent transport comes from a decrease of the drive and/or smaller correlation lengths. Other parameters are also likely play some role, for example, the Shafranov shift (also called α effect, $\alpha = -q^2 R d\beta/dr$), density gradient, impurity content and ratio of the ion to electron temperature. A common way to assess this stabilization is to compare the $E \times B$ shear rate γ_E to a maximum linear growth rate γ_{lin} [3–6].

Two operational criteria can be built on the basis of this simple rule. We consider here ion temperature gradient (ITG) modes and collisionless TEM modes (the collision frequency is well below the curvature drift frequency in JET ITBs). A set of fluid-like equations describing these modes is given in the appendix. They are similar to those used in the Weiland model [7]. The instability is of the interchange type (see equation (A.6)), i.e. assuming $T_{\rm e} \approx T_{\rm i}$, the linear growth rate $\gamma_{\rm lin}$ is of the form $c_{\rm s}/(RL_{T_{\rm e}})^{1/2}$ up to a function of plasma parameters (c_s is the sound speed and L_{T_e} the electron temperature gradient length). The diamagnetic part of the rotational shear rate reads $\gamma_{\rm E} \approx \rho_{\rm s} c_{\rm s} / L_T^2$. The condition $\gamma_{\rm E} > \gamma_{\rm lin}$ then transforms into $(R/L_{T_{\rm e}})^{1/2} \rho_{\rm s}/L_{T_{\rm e}} > cte$. For simplicity, this criterion for a transition is transformed into $\rho_{\rm T}^* = \rho_{\rm s}/L_{T_{\rm e}} > \rho_{T_{\rm crit}}^*$. In principle, $\rho_{T_{\rm crit}}^*$ depends on the magnetic shear, α , and the Mach number (which comes into play via the contribution of the toroidal velocity to the shear flow), and possibly the density gradient and impurity content. In practice, an analysis of the JET database shows that this criterion works well when choosing a constant value $\rho_{T_{\rm out}}^* = 0.014$ [8]. Another criterion corresponds to a 'loss of stiffness'. Stiffness here means that the temperature gradient length (for ions or electrons) is close to a threshold value $R/L_{\rm T} = R/L_{T_{\rm crit}}$. This hypothesis is still under investigation at JET. Ion cyclotron modulation experiments with mode conversion in L mode show the existence of a threshold for electrons [9]. For ions evidence has been obtained from steadystate profiles in L and H modes [10]. A natural definition of an ITB then corresponds to a region were the threshold is well above the L mode value. This leads to a criterion of the form $R/L_{\rm T} > R/L_{T_{\rm crit}}$ for the formation of the ITB. This rule can be written as a condition on the ratio of core to edge temperature. A large class of ion ITBs was found to satisfy this criterion using a critical value $R/L_{T_{\rm crit}} \approx 6$ [10].

3. Micro-stability analysis of JET plasmas with an ITB

Several fluid and kinetic stability codes have been used to calculate the growth rates of ITG modes and trapped electron modes (TEM) [7, 11–13]. The various techniques used to calculate the linear growth rates and $E \times B$ velocity shear are summarized in table 1. Details can be found in references [14–21]. These models have been compared on the same JET pulse #51976.

This pulse is a transient ITB with high performance that was analysed in detail by Challis et al [22]. The q profile is reversed early in the discharge using a current pre-forming phase with lower hybrid current drive (LHCD). An electron barrier appears early in the plasma at $t \approx 1.5$ s, after LHCD is applied. An ion barrier develops at t = 4 s after neutral beam injection (NBI) is switched on. Both electron and ion barriers are strongly amplified at $t \approx 6$ s (see figure 1). The q profile shown in figure 2 is from a TRANSP run [12]. All groups have used the Hahm–Burrell definition [5] of the $E \times B$ shear rate. However, the calculation procedures were different (see table 1), thus leading to substantial differences (figure 2). Part of this discrepancy comes from the different ways of fitting the data. Also, the Hahm-Burrell expression may be calculated locally (the major radius being the radial coordinate), or by using flux coordinates. Thus, the mapping of experimental data on the equilibrium is a source of uncertainty. The result of the stability analysis at t = 6 s, before the barrier strengthens, is shown in figure 2. Note that a barrier already exists at that

Table 1. List of models used to analyse JET transport barriers.

| Growth rate | $E_{\rm r}$ calculation |
|---|--|
| Fluid ITG (Weiland [7]) | NCLASS [19] |
| Gyrokinetic flux tube ITG/TEM (GS2) [16] | NCLASS [19] |
| Rogister model [15] | Kim model [20] |
| Variational gyrokinetic ITG/TEM (KINEZERO) [18] | Kim model [20] |
| | Growth rate Fluid ITG (Weiland [7]) Gyrokinetic flux tube ITG/TEM (GS2) [16] Rogister model [15] Variational gyrokinetic ITG/TEM (KINEZERO) [18] |



Figure 1. Time history of the JET pulse #51976. Top panel: plasma current I_p , energy confinement time τ_E and ITER89 scaling law. Lower panel: additional power and energy content.



Figure 2. Profiles of safety factor and ion temperature, linear growth rates and velocity shear rate of JET pulse #51976 at t = 6 s.

time, so that the velocity shear rate is already large. Three models do predict stabilization, whereas the Weiland model predicts growth rates that are too large to be overcome by the velocity shear rate. However, this model uses a ballooning representation that is not valid in the vicinity of $q = q_{\min}$. Using the Rogister model [15] instead gives a better agreement.

Explaining the electron barrier onset at t = 1.5 s is more difficult, since no measurement of ion temperature and safety factor is available at the beginning of the pulse. A transition due to the $E \times B$ velocity shear or α stabilization alone seems difficult to justify. Before the transition, α is of the order of 0.1. The estimated value of the velocity shear rate $\gamma_{\rm E}$ is low $(\approx 10^4 \, \text{s}^{-1})$ as compared to a typical value of γ_{lin} , unless a burst of localized rotational shear occurs (this possibility is analysed in section 6). This finding is in line with previous studies where a blip of NBI was done in the preheat phase, allowing a better calculation of the velocity profile [23, 24]. Thus, a decrease of the growth rate has to be invoked to explain this transition. In practice, most models rely essentially on the magnetic shear to trigger the barrier. This effect is less marked when using the GS2 code, which predicts a transition at $t \approx 5$ s [12]. In the latter case, the stabilization is due to the combined contributions of the negative magnetic shear, Shafranov shift and impurity content. No obvious difference is seen between negative and zero magnetic shear. The Rogister model favours low magnetic shear, confirmed by a recent analysis of the JET database [25], whereas the GS2 (flux tube) code predicts that negative shear is more favourable.

4. Profile modelling of JET ITBs

JET ITB plasmas have been modelled using several available transport models: Mixed Bohm–gyroBohm (B/gB) [26, 27], multi-mode (MMM) [28], and Weiland [7, 29] models. B/gB models have been implemented in the JETTO and CRONOS codes. The main differences between the two codes come from the LHCD modules (FRTC in JETTO and Delphine in CRONOS). Moreover, the stabilization by magnetic shear and $E \times B$ velocity is implemented in different ways. Namely, the JETTO code enforces a global decrease of the diffusivity in the region where $\gamma_{\rm E} > 0.68\gamma_{\rm TTG}(s - 0.14)$ [26], where $\gamma_{\rm TTG}$ is approximated by v_{T_i}/R (v_{T_i} is the ion thermal velocity). The CRONOS local uses a smoother and local reduction of the diffusivity $1/[1 + \exp(20(0.05 + \gamma_{\rm E}/\gamma_{\rm TG} - s))]$, with a growth rate $\gamma_{\rm TTG}$ given by Newman *et al* [17]. This exercise



Figure 3. Time history of pulse #53521. Top panel: plasma current I_{p} , energy confinement time τ_E and ITER89 scaling law. Lower panel: additional power and energy content.

was carried out for pulse #51976 (see section 2) and the quasisteady-state ITB #53521 [30] with similar results.

The time history of pulse #53521 is shown in figure 3. An important difference with #51976 is that the LHCD is present throughout the plasma duration. The whole pulse was simulated. A comparison is shown in figure 4 in the quasisteady-state phase at t = 10 s. This is a good test since the final state depends sensitively on the time history. The transport models that predict a strong decrease of the diffusivities for negative or zero magnetic shear reach the best agreement. Interestingly, the two simulations using the mixed B/gB model show some differences. This is due to the different current drive modules and the differences in the nature of the transition (local or global). This sensitivity of the current profile is not surprising since the onset of the barrier is mainly due to the magnetic shear, whereas the velocity shear rate is small at the transition. This result is in line with the findings of the stability analysis (section 3). Later on in the pulse, the velocity shear rate becomes increasingly important for maintaining the barrier and moving its location outward. Another interesting feature is that the MMM is in better agreement with the data than the Weiland model. This was unexpected since the Weiland model is part of the MMM. The reason is that the Hamaguchi-Horton criterion [6] $\gamma_{\rm E}/s > \gamma_{\rm lin}$ (s is the magnetic shear) was



Figure 4. Profile modelling comparison for pulse #53521 at t = 10 s.



Figure 5. Left panel: experimental profiles of safety factor, electron and ion temperature of the JET pulse #53521 at t = 10 s (q profile from [30]). Right panel: turbulence simulation of a barrier with the same q profile. Circles are the positions of $k_{\theta}\rho_{s0} < 1$ resonant surfaces on the q profile.

used in the MMM analysis whereas the Hahm–Burell criterion $\gamma_E > \gamma_{lin}$ was used for the simulation using the Weiland model. Using the Hamaguchi–Horton criterion introduces a strong dependence on the magnetic shear in the modelling, thus palliating the weak dependence of the linear growth rate on the magnetic shear in the Weiland model. This result is also in line with the linear stability analysis. The Weiland model predicts an ITB formation but the density gradient seems to be the key ingredient in this case [29]. Thus, although many results point in the direction of the magnetic shear as being mainly responsible for the transition to an ITB, other mechanisms cannot be excluded.

5. Turbulence simulations of JET ITBs

Global fluid simulations of electrostatic ITG/TEM modes (TRB code [31, 32]) have been run for several JET plasmas. The equations that have been solved are described in the appendix. The model covers collisionless TEM modes and slab and toroidal ITG modes. All simulations show the importance of the magnetic shear for the onset of the barrier. However, different mechanisms are involved for ions and electrons. Although high wave number ITG modes are stabilized by negative shear, the main reason for the onset of an ion barrier is the formation of a gap in the density of rational surfaces at low wave numbers close to the minimum of safety factor q_{\min} (see figure 5). Close to a low order rational number, e.g. $q_{\min} = 2$, there exists indeed a substantial layer without any resonant surface such that $k_{\theta}\rho_{\rm s} < 1$. The width of this layer can actually be estimated analytically. Assuming $q_{\min} = m_0/n_0$ and choosing q as a radial coordinate, the distance between the resonant surface associated to an (m, n) mode and the surface $q = q_{\min}$ is $\Delta q = |mn_0 - nm_0|/n_0 n$. For a given toroidal wave number n, the minimum value of Δq over all values of m is $1/n_0n$. Harmonics of (m_0, n_0) are excluded since these modes resonate at $q = q_{\min}$. The ratio $1/n_0 n$ can be made as low as needed by increasing the toroidal wave number. However, restricting the toroidal wave number to the domain of unstable ITG/TEM modes $nq\rho_s/r < 1$, it is found that this minimum distance remains finite, $\Delta q_{\rm gap} \approx q_{\rm min}
ho_{\rm s}/(r_{q_{\rm min}} n_0)$. If $q_{\min} = m_0/n_0$ is chosen very close, but slightly above a simple rational number, i.e. $q_{\min} = m_0/n_0 + \varepsilon$, with $\varepsilon \ll 1$, this distance has to be multiplied by 2 since each side of $q = q_{\min}$ has to be accounted for. This width can be recast into a distance in radial coordinate by assuming a parabolic profile around q_{\min} , i.e. $q = q_{\min} + q''_{\min}(r - r_{q_{\min}})^2/2$. One finds

$$d_{\rm gap} = 2 \left[\frac{q_{\rm min} \rho_{\rm s}}{q_{\rm min}' r_{q_{\rm min}} n_0} \right]^{1/2} \tag{1}$$

Taking as an example $r_{q_{\min}}q''_{\min}/q_{\min} = 0.5$ and an ion Larmor radius of 10^{-3} m leads to a gap of the order of 0.1 m for $q_{\min} = 2$ ($m_0 = 2$, $n_0 = 1$). A barrier appears when this gap is larger than a turbulence correlation length. We note, however, that this argument holds if resonant modes only play a dominant role in turbulence. The possible onset of non-resonant modes is, therefore, a central issue. Once an ion barrier is produced, its position and width are controlled by rotational shear. Electrons are sensitive to both negative and zero magnetic shear. Obviously TEMs are also affected by a gap in the resonant surfaces, even if they do not need an overlap with adjacent resonant surfaces to be unstable. TEMs are also affected by a negative magnetic shear because of the reversal of the trapped electron curvature drift that decreases the instability drive. Full stabilization of TEM modes is expected for $s < -\frac{3}{8}$ in this model.

Simulations of an actual ITB in JET indicate that all mechanisms are involved, depending on the q profile. An example for pulse #53521 is shown in figure 5. Regarding the turbulence characteristics, these simulations agree with those previously carried on for resistive ballooning mode turbulence [33]. In the latter case, transport barriers were produced with an externally imposed velocity shear. In particular, a strong decrease of electric potential fluctuations is always observed, whereas the decrease of density or pressure fluctuation amplitude is small in weak barriers. Thus, the level



Figure 6. Contours of ρ_T^* of pulse 51573 (from [34]).

of density fluctuations is not always a good signature of ITB formation.

6. Low order rational q_{\min} and double internal barriers

The favourable role of a low order rational value of the minimum safety factor has been long emphasized for in JET optimized shear plasmas [22, 34]. This role has been confirmed recently in reversed shear plasmas thanks to the observation of Alfvèn wave cascades [34, 35]. The q profile in JET during a current ramp-up is such that q_{\min} decreases with time, crossing successively several low order rational surfaces. The case of $q_{\min} = 2$ is intriguing and analysed in detail in a companion paper [35]. An example is shown in figure 6 that shows contour lines of ρ_T^* for pulse #51573 [34]. First, a barrier appears at $R \approx 3.35$ m in a region where the shear is negative. A dramatic change of structure appears at $t \approx 6$ s. This corresponds to the appearance of the surface q = 2 at q_{\min} . Then two barriers appear that follow approximately the two q = 2surfaces. Clearly, most transport models can hardly predict this behaviour since they do not usually assign a special role to resonant surfaces. So this question deserves some attention.

A first explanation relies on MHD modes located at q = 2 generating a localized velocity shear. A correlation between ITB formation and MHD activity was found in positive (optimized) shear plasmas [34]. On the other hand, no strong MHD activity is observed in reversed shear plasmas apart from the Alfvèn cascade itself. However, tearing modes located at q = 2 surfaces may be difficult to detect. Turbulence itself could be responsible for a flow generation close to rational q values. This explanation does receive some support from electromagnetic turbulence simulations with the CUTIE code [36]. These simulations also show that the bootstrap current is enhanced near rational q values, thus further lowering the magnetic shear locally.

A second explanation relies on the existence of gaps in the density of low wave number rational surfaces. This gap is wider when q_{min} is close to a low order rational number.



Figure 7. Contour lines of ρ_T^* (left panel) and of the distance (in metres) between adjacent resonant surfaces such that n, m < 80 (right panel) (from [35]).



Figure 8. Simulation of a barrier with reversed magnetic shear and q_{\min} just below 2 with the turbulence code TRB. Circles are the position of resonant surfaces on the *q* profile. The dashed lines are the ion and electron pressure profiles.

It depends sensitively on the curvature of the q profile as illustrated by equation (1) [31, 32]. Also, gaps tend to develop in the vicinity of low order rational numbers even for finite magnetic shear. Indeed, a correlation is found between the time-dependent position of the gaps and the actual evolution of a double barrier (figure 7, [33]). First, a large gap appears just before $q_{\min} = 2$ (typically for $q_{\min} - 2$ of the order of a few 10^{-3}). Second, once q_{\min} becomes smaller than 2, two gaps follow the q = 2 surfaces, whereas the central gap close to q_{\min} contains high wave number resonant surfaces. It may, therefore, be possible that a strong barrier only appears when q_{\min} crosses the q = 2 surface, then splits. Coexistence of barriers is possible, as shown in figure 8. The same figure shows that the barriers are stronger near q = 2 than near q_{\min} . The notion of gaps in the density of resonant surfaces is close to the 'resonance-free' zones introduced by Brakel et al [37] to explain the dependence of the confinement in W7-AS on rational values of the safety factor. Note, however, that an explanation based on the density of rational surfaces does not explain the onset and self-sustainment of a barrier located somewhat in the negative shear region (as in #51573 before t = 6 s). The reversal of the precession frequency of trapped electrons for $s = -\frac{3}{8}$ (see equation (A4)) may explain the onset of this type of barrier. Thus, both s < 0 and s = 0(and rational q_{\min}) must be invoked to explain the whole history of this kind of plasma.

7. Conclusion

Many experimental results in JET indicate that the onset of an ITB is sensitive to the profile of the safety factor. Part of these observations can be explained by the dependence of the linear growth rate on the safety factor and its gradient. Both linear stability analysis and turbulence simulations confirm this result. Models based on a transport reduction due to magnetic shear combined with velocity shear also reproduce the data in a satisfactory way. Whether it is zero or negative magnetic shear that matters remains to be clarified. Many barriers in JET appear at zero magnetic shear and low order rational q_{\min} . However, there also exist examples of electron transport barriers in the region of negative magnetic shear. Most transport models fail to explain the particular role of rational surfaces. Two explanations are possible for this special role. One is based on MHD or low m, n turbulence modes generating a localized $E \times B$ shear flow. The second explanation relies on the development of a region without any low wave number resonant surface. Turbulence simulations confirm the possible coexistence of several barriers. They also indicate that rational q surfaces play a special role.

Appendix. Model implemented in the TRB code

The following set of five fluid equations is used here to describe a collisionless ITG and TEM turbulence

$$d_{\rm t}n_{\rm e} = \mathrm{i}\omega_{\rm dte} \left(n_{\rm e,eq}\phi - p_{\rm e} \right) + S_{\rm n} \tag{A1a}$$

$$d_{\rm t}p_{\rm e} = \mathrm{i}\omega_{\rm dte}\Gamma(n_{\rm e,eq}\phi + T_{\rm e,eq}^2n_{\rm e} - 2T_{\rm e,eq}p_{\rm e}) + S_{\rm pe} \tag{A1b}$$

$$d_{t}\Omega = -n_{e,eq} \nabla_{\parallel} v_{\parallel i} - i\omega_{di}(n_{e,eq}\phi + p_{i}) - i\omega_{dte} f_{t}(n_{e,eq}\phi - p_{e}) + [p_{i,eq}, \nabla_{\perp}^{2}\phi] + f_{c}[n_{e,eq}, \phi]$$
(A1c)

$$d_{t}v_{\parallel i} = -\nabla_{\parallel} \left(\phi + \frac{p_{i}}{n_{e,eq}}\right) + S_{v} \qquad (A1d)$$

$$d_{t}p_{i} = -i\omega_{di}\Gamma\left[p_{i,eq}\left(1 - \frac{f_{c}T_{i,eq}}{T_{e,eq}}\right)\phi - T_{i,eq}^{2}n_{e} + 2T_{i,eq}p_{i}\right] - \Gamma p_{i,eq}\nabla_{\parallel}v_{\parallel i} + S_{pi}$$
(A1e)

where n_s , T_s , p_s , $v_{\parallel s}$, ϕ are the normalized density, temperature, pressure, parallel velocity and electric potential, respectively (the labels 'e' and 'i' are for electrons and ions, no impurity is included). The generalized vorticity Ω is defined as

$$\Omega = n_{\rm e,eq} \left[\frac{f_{\rm c}(\phi - \phi_{\rm eq})}{T_{\rm e,eq}} - \nabla_{\perp}^2 \phi \right]$$
(A2)

The normalization is of the gyroBohm type,

$$n_{\rm e} \rightarrow \frac{a}{\rho_{\rm s0}} \quad \frac{n_{\rm e}}{n_0}, \qquad p_{\rm e,i} \rightarrow \frac{a}{\rho_{\rm s0}} \quad \frac{p_{\rm e,i}}{p_0},$$

$$\phi \rightarrow \frac{a}{\rho_{\rm s0}} \quad \frac{e\phi}{T_0}, \qquad v_{\parallel i} \rightarrow \frac{a}{\rho_{\rm s0}} \quad \frac{v_{\parallel i}}{c_{\rm s0}}$$
(A3)

where ρ_{s0} is the ion gyroradius ($\rho_{s0} = m_i c_{s0}/e_i B_0$, c_{s0} is the sound speed $(T_0/m_i)^{1/2}$), *a* and *R* are the minor and major radii, respectively, n_0 , T_0 , $p_0 = n_0 T_0$ are reference values. Time and spatial coordinates are normalized to a/c_{s0} and ρ_{s0} . The geometry of flux surfaces is circular concentric, (r, θ, φ) being the labels of the minor radius, poloidal and toroidal angles, respectively ($\rho = r/a$ is the normalized minor radius). The fraction of trapped (resp. passing) electrons is $f_t = 2/\pi (2r/R)^{1/2}$ (resp. $f_c = 1 - f_t$). The electron precession drift and the ion curvature drift operators are, respectively,

$$\omega_{\rm dte} = -i2\varepsilon_{\rm a}\lambda_{\rm t}\rho_{\rm s0}qr^{-1}\partial_{\varphi}, \qquad \lambda_{\rm t} = \frac{1}{4} + \frac{2s}{3} \quad (A4)$$

and

$$\omega_{\rm di} = -i2\varepsilon_{\rm a}\rho_{\rm s0}(\cos(\theta)r^{-1}\partial_{\theta} + \sin(\theta)\partial_{\rm r}) \tag{A5}$$

The function $\lambda_t = \frac{1}{4} + \frac{2s}{3}$ characterizes the dependence of the precession frequency on the magnetic shear s =

 $\rho \, dq/q \, d\rho$ and $\varepsilon_a = a/R$ parametrizes the curvature. The Lagrangian time derivative is defined as $d_t = \partial_t + [\phi,] - D$, where D is a 'collisional' diffusion operator and [f, g] = $r^{-1}(\partial_r f \partial_\theta g - \partial_\theta f \partial_r g)$. The functions S_n , S_v , S_{pe} , S_{pi} are particle, momentum, ion and electron heat sources, respectively. The label 'eq' indicates a flux average. Note that the perturbed part of $f_t n_e$ is the fluctuating density of trapped electrons, whereas \hat{n}_{e} is the total equilibrium electron density. The adiabatic compression index is $\Gamma = \frac{5}{3}$. The vorticity equation (A1c) expresses an ambipolarity condition. The vorticity is coupled via the curvature drifts to electron and ion pressure, which are governed by equations (A1b)and (A1e). This coupling is responsible for TEM and toroidal ITG instabilities, while the coupling with the parallel momentum equation (A1d) is responsible for the slab ITG instability. A highly simplified (but useful) estimate of the growth rate can be obtained by assuming low wave numbers $(k_{\perp}\rho_{\rm i} \ll 1)$, strongly ballooned modes ($\theta \ll 1$), large pressure gradient and no density gradient, and pure convection of the electron and ion pressure $d_t p = 0$, namely

$$\gamma_0^2 = f_t \omega_{\rm dte} \omega_{\rm pe}^* + \omega_{\rm di} \omega_{\rm pi}^* \tag{A6}$$

where

$$\omega_{\rm pe}^* = \frac{-nq}{r} \rho_{s0} \frac{a\partial_r p_{\rm e,eq}}{n_{\rm e,eq}}, \qquad \omega_{\rm pi}^* = \frac{nq}{r} \rho_{s0} \frac{a\partial_r p_{\rm i,eq}}{n_{\rm e,eq}} \quad (A7)$$

and *n* is the toroidal wave number. A subset of equations describing ITG modes is obtained by setting $f_t = 0$ (no trapped electrons).

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